(19) Europäisches Patentamt
European Patent Office
Office européen des brevets

(11) EP 0 989 497 A1

(12) EUROPEAN PATENT APPLICATION

(43) Date of publication:
29.03.2000 Bulletin 2000/13

(51) Int Cl.[7]: **G06F 12/14**, G06F 1/00

(21) Application number: **97402237.8**

(22) Date of filing: **25.09.1997**

(84) Designated Contracting States:
AT BE CH DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE

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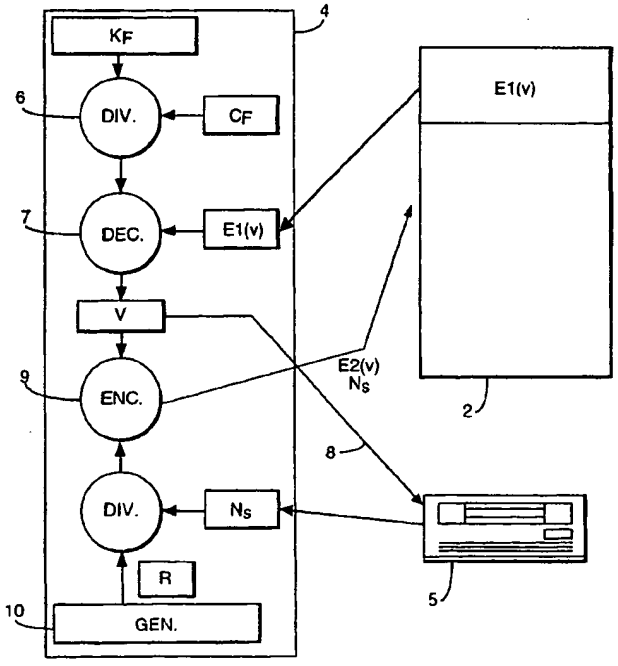
(54) Method and apparatus for protection of recorded digital data

(57) A method of protecting recorded digital data on a digital support medium 2 characterised in encrypting one or more elements of the volume descripter V associated with the support medium 2 by means of a first key Kf and diversifier Cf, storing an equivalent of the first key Kf and diversifier Cf on an integrated circuit embedded in a smart card 4 associated with the support medium 2. The encrypted volume descripter elements E1(V) are then stored on the support medium 2. When accessing the recording, the keys Kf, Cf stored in the integrated circuit are used to decrypt the encrypted elements of the volume descripter E1(V) and to supply the reader 5 with the decrypted elements V so as to permit the reading and/or writing of recorded data on the support medium.

Fig.2.



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Description

The present invention relates to a method and apparatus for protection of recorded digital data, for example protection of audio and/or visual data as recorded on compact disks, digital video disks or other similar supports.

The introduction of digital technology in the audio-visual field has brought considerable advantages to the consumer in comparison with analog technologies, notably in relation to the quality of reproduction of sound and image and the durability of the supporting medium. The compact disk has all but replaced traditional vinyl records and a similar trend is expected with the introduction of new digital products aimed at the multimedia and home entertainment markets generally, notably the digital video disk.

A particular problem associated with digitally recorded lies in its ease of reproduction and the possibilities for piracy that arise therefrom. A single digital recording may be used to make any number of perfect copies without any degradation in quality of the sound or image. This problem is a serious one, particularly with the advent of recordable digital products such as the minidisk or DAT, and the reluctance of entertainment companies to license copyright works whilst this problem remains has acted as a break on the introduction into the market of new media products.

At present, the only practically available solution against unauthorised reproduction of copyright works has been a legal one, and a number of countries in Europe and elsewhere have introduced anti-piracy legislation to combat the increasing number of pirate films, CDs etc being brought onto the market. For obvious reasons, a legal solution is less than optimal from the point of view of preventitive action.

Technological solutions proposed to date have been extremely basic, relying for example on the idea of using some form of digital « handshake » between the reader and support medium so as to verify the origin of the the recording. Such protection is, however, only effective against only the most low level of copying activity, since the handshake signal is not protected in any way and may be easily read and reproduced so as to convert an unauthorised copy into an apparently authorised and readable copy.

The aim of the present invention is to overcome the disadvantages associated with the prior art techniques and to provide a technological solution against the unauthorised reproduction of digitally recorded copyright works.

According to the present invention there is provided a method of protecting recorded digital data on a digital support medium characterised in encrypting one or more elements of the volume descripter of the support medium by means of a first key, storing an equivalent of the first key necessary to decrypt the volume descripter on an integrated circuit associated with the support medium and, when accessing the support medium, using the key stored in the integrated circuit to decrypt the encrypted elements of the volume descripter and to supply the reader with these elements so as to permit the reading and/or writing of recorded data on the support medium.

For digital support mediums, such as CDs, CD ROMs etc, each recording has associated therewith an introduction in the form of a volume descripter which sets out basic information regarding the storage layout of digital information in the medium, the amount of data stored in the medium, the date of creation of the support medium etc. This information, which occupies only a small amount of memory, is nevertheless essential to the reading of the recording and, without this information, the reader cannot access the recorded data.

By encrypting this information and storing the decryption key in an integrated circuit associated with the support medium, the present invention protects against unauthorised copying of the recording, since the reader will not be able to access the stored data without the decrypted elements of the volume descripter and since the key necessary to do this is held by the integrated circuit, which is of course resistant to copying. Even if the stored data is copied, the resulting copy will be unreadable since the volume descripter will only be present in an incomplete or entirely encrypted form. The decryption of the volume elements may be carried out inside the IC (integrated circuit), such that the key is never made freely available.

In one embodiment, the integrated circuit is embedded in a smart card associated with the support medium, the smart acting to decrypt the encrypted volume elements and to pass these to the reader so as to permit reading of the recorded data.

In this context, a smart card provides a secure and durable means for stocking the key necessary for decryption of the volume descripter elements. Equally, the cost of production of such a card is relatively small in comparison with, for example, the price of the recording itself.

In this application the term « smart card » is used to mean any conventional chip-based card device possessing, for example, microprocessor or EEPROM memory for stocking the key. Also included in this term are chip devices having alternative physical forms, for example key-shaped devices such as are often used in TV decoder systems.

Whilst providing a particularly convenient way of housing the integrated circuit or « chip » used in the invention, a smart card is not the only solution available. For example, in one realisation, the key is stored in an integrated circuit embedded in the housing of the digital support medium.

Incorporation of a microprocessor within the housing of the support medium is a known technique and has already been suggested, for example, in the case of DVHS cassettes where a set of metallic contacts may

be provided on an exterior surface of the cassette housing, the contacts leading to an electronic circuit, such as an integrated circuit or chip in the interior of the housing. These contacts may be engaged by a corresponding set of contacts in the receptacle of the recorder to enable communication between the integrated circuit and the video recorder.

Such a solution avoids the need for the provision of a card or the like in conjunction with the recording and is thus inherently simpler from the point of view of the consumer. The need to include, for example, a smart card slot in the digital reader is also avoided, although the cost of production of the recording medium will of course increase to incorporate the introduction of an IC in the housing as may the costs of the elements of the reader used to read the support.

In one embodiment the key for encrypting and/or decrypting the volume descripter elements comprises a key diversified by a manufacturing constant representing a value associated with the identity of the recording medium, for example its serial or batch number. In this way a simple encryption algorithm can be used, diversified by the manufacturing constant, to provide a « unique » key and a unique encrypted volume descripter. In fact, for most practical purposes, the key may be unique for a given batch of recordings or for one particular recording.

In its simplest form the key algorithm used in this invention can be any one of a number of known symmetric algorithms, such as DES or RC2 etc. In such a case, the encryption/decryption keys can be thought of as identical. Other embodiments are envisagable, using public/private key pairs, for example.

In one realisation of the method of the invention, the volume elements are re-encrypted by the integrated circuit according to a new key generated and stored in the integrated circuit, the re-encrypted volume elements being thereafter recorded on the medium by the reader, replacing the previously encrypted values. In this way, the security of the system is increased and the identification of the IC with the recording in question assured.

The new key may be generated by the integrated circuit using a random or pseudo-random number generator, for example. Thus, even in the case of a batch of recordings initially encoded with the same key, the encrypted volume descripter will quickly mutate with each playing of the recording, such that no two recordings will open with the same key.

In one embodiment, the new key generated by the integrated circuit is diversified by a value associated with the identity of the reader, for example its serial number, read by the integrated circuit from the reader. This permits the recording only to be read by that particular reader.

In one embodiment, the value associated with the identity of the reader is stored in the support medium and compared by the integrated circuit with the value read directly from the reader on subsequent readings. In one realisation, the integrated circuit may simply reject the value read from the reader if this does not match that stored in the medium.

However, in an alternative realisation, the system may be programmed to allow an update of this value to allow, for example, for the possibility that the reader has been replaced or broken down. In such an embodiment, the integrated circuit compares the identity value read from the support medium with that read from the reader and, in the event of a mismatch or difference between the two, acts to decrypt the volume elements using the previous reader identity value from the recording medium and thereafter to re-encrypt the volume elements using the new reader identity value from the reader.

The new reader identity can either replace or be stored together with the previous reader identity. In the former case, in order to prevent an unlimited number of readers from accessing the disk, the integrated circuit can be programmed to carry out this operation only a predetermined number of times. In the latter case, the integrated circuit can be programmed to permit a predetermined number of authorised reader identities to be stored, so as to allow the recording to be played on a number of readers belonging to the user, for example. With a limited number of reader identities, the IC can safely permit an unlimited number of changes between the authorised readers.

The present invention has been described above largely in relation to the protection of pre-recorded recording mediums, such as CDs, CD ROMs etc. However, as will be appreciated, the same technique can be applied to blank recordable units and in one realisation the support medium is blank prior to its first insertion in the reader, the presence of the associated integrated circuit being necessary in order to decrypt the volume elements before the reader is allowed to write any data onto the blank medium.

Such blank units also possess a set of volume descripter elements, some or all of which can be encrypted as described above to ensure that the units can only be read/recorded on in the presence of the stored key and, if desired, in one or a selected number of readers. In this way, protection can be afforded against unauthorised copies of the ultimately recorded work that is stored on the recording medium.

Accordingly, whilst the term « reader » is used in the text to generally refer to devices capable of reading pre-recorded digital data, it is also to be understood to include devices capable of writing or recording digital data onto the support medium in those embodiments where recordal of such data is necessary.

The present invention equally extends to a method of manufacturing a digital support medium and integrated circuit, for example as incorporated in a smart card, for use in the method of the present invention.

A preferred embodiment of the invention will now be described by way of example only and in relation to the attached figures, in which :

Figure 1 represents the steps in the creation of digital support medium, in this case a CD ROM, including an at least partially encrypted volume descripter and a smart card containing the decryption key; and

Figure 2 represents the steps carried out in the reading of the digital support medium encrypted as per Figure 1.

Referring to Figure 1, the steps in the manufacture of a digital recording including an encrypted volume descripter are shown. A first encryption key Kf is obtained and diversified at step 1 by a manufacturing constant Cf to derive a « unique » key associated with the recording in question. The encryption key Kf can be obtained from from any standard symmetric encryption algorithm known to one skilled in the art such as DES, for example.

The manufacturing constant Cf can be chosen from a number of values associated with the recording in question, including the serial number of the recording medium, for example. However, in a simplified embodiment, the manufacturing constant Cf can represent a batch number associated with the production of a batch of recordings, or even a serial number corresponding to the catalogue number of the film or recording in question.

In the latter case, the same digital key will be generated for all recorded versions of the same performance or of the same film, for example. Although less secure than the realisations in which a manufacturing constant based on the reording medium itself is used (eg the CD ROM serial or batch number) the level of security provided by this embodiment may nevertheless be sufficient for commercial purposes.

The « unique » encryption key obtained from the diversification of the first key Kf is then used to encrypt one or more elements of the volume descripter V associated with the recording medium in question. As mentioned in the introduction, the use of a volume descripter in the field of digital recordings is a concept well-known in the art. Such a descripter contains a number of elements describing characteristics of the recording (amount of data stored, layout of digital blocks of information in the recording etc) that have to be read and assimilated by the reader before the recording can be played.

The format of the volume descripter for a given digital recording medium (CD, CD ROM, DVD etc) is usually governed by an international standard or norm in order to ensure compatability between different readers. In the case of CD ROMs, for example, the format of the volume descripter is governed by the international standard ISO 9660, to which the reader of the present application is referred.

If desired, all of this information can be encrypted in one embodiment of the present invention. However, since a part of the information in the volume descripter will be effectively invariant for all standardised recordings a more efficient solution may be based on the encryption of only certain elements of the overall volume descripter.

For example, in the case of a CD ROM, the data found at the octet positions 129 to 190 of the volume descripter as defined in table 4 of the standard ISO 9660 may be encrypted. At these positions, the following data is found :

129 to 132	Size of logic block
133 to 140	Size of path table
141 to 144	Position of occurrence of path table of type L
145 to 148	Position of optional occurrence of path table of type L
149 to 152	Position of occurrence of path table of type M
153 to 156	Position of optional occurrence of path table of type M
157 to 190	Recording of index for the source index

As will be appreciated, whilst the descripter is here described in relation to a CD ROM disk, the present invention is equally applicable to other formats of digital recordings of audiovisual or multimedia type data including such descripters, such as digital video disks or the like.

Returning to Figure 1, the selected elements of the volume descripter V are read from the support medium 2 and encrypted at step 3 by the diversified key Kf. The resulting encrypted elements of the volume descripter, designated here by E1(V), are thereafter used to replace the original elements V in the support 2. The support medium thus formed includes unencrypted digital data representing the bulk of the recording in question together with a partially or entirely encrypted volume descripter. As will be clear, the recording cannot be read without an equivalent decryption key.

In order to permit an authorised user to access the data on the support, it is necessary to supply the user with the key Kf and diversifier Cf. In the present embodiment, the values Kf, Cf are stored in the EEPROM of an integrated circuit mounted on a smart card. The smart card is sold with the recording, such that the legitimate user may listen to or watch the recording in question. The process of decryption is described in greater detail below. Without the decryption key, any copies made of the recording are unreadable. As will be understood, the information stored in the smart card cannot be easily copied and any of a number of techniques known from other fields in which smart cards are used (banking, telephone cards etc) can be used to pro-

hibit unauthorised access to the decryption data.

In an alternative embodiment, the key may be stored in an integrated circuit embedded in the body or housing of the digital recording medium. Incorporation of a microprocessor within the housing of a recording medium is a known technique and has been suggested for example in the case of DVHS cassettes where a set of metallic contacts may be provided on an exterior surface of the cassette housing, the contacts leading to an electronic circuit, such as an integrated circuit or chip in the interior of the housing. These contacts may be engaged by a corresponding set of contacts in the receptacle of the recorder to enable communication between the integrated circuit and the video recorder.

Such an embodiment is as equally resistant to unauthorised copying since possession of the physical recording in the form in which it was sold to the user is a necessary condition for playing of the recorded data.

Referring now to Figure 2, the steps involved in the decryption and subsequent re-encryption of the volume elements V will now be described. As mentioned above, the values of the encryption key Kf and diversifier Cf are stored in a smart card 4 associated with the support medium 2. To read the recording, the smart card 4 and support 2 are inserted in the appropriate slots in a reader 5. Smart card readers are well-known and the modification of CD ROM or DVD reader, for example, to include a smart card slot would be a relatively simple step in terms of the manufacturing process.

As in the encryption method of Figure 1, the key Kf is diversified by the manufacturing constant Cf stored in the smart card 4 at step 6 and the resulting diversified key used at step 7 to decrypt the encrypted elements E1 (V) read from the support element 2. The decryption process is carried out within the smart card and the decrypted volume elements V are thereafter supplied at step 8 to the reader 5 so as to permit reading of the recording.

In its simplest embodiment, the encrypted volume elements E1 (V) are retained in the support 2 and the same key Kf and constant Cf stored on the card 4 can be used in all future readings of the recording. However, in a preferred embodiment, the decrypted volume elements are thereafter re-encrypted at step 9 to form a new encrypted value E2(V) written onto the support 2 over the initial value E1(V).

The volume elements V are re-encrypted using a key based on a random number R generated by a random or pseudo-random number generator 10 within the integrated circuit of the smart card itself. The random number R is stored in the smart card to permit subsequent decryption of the volume elements at the next reading of the recording. In this way, the present embodiment permits the rapid individualisation of card and recording, even in the case of batch of recordings initially encoded using the same key Kf and diversifier Cf.

In a preferred variation, the random number key is itself diversified at step 11 using a value read from the reader 5, for example its serial number Ns. The diversifier value Ns is stored together with the re-encrypted volume elements E2(V) on the support 2. The value Ns may also be optionally stored in the smart card 4.

At the next reading of the recording, the smart card 2 reads the serial number Ns from the reader 5 together with the values E2(V) and Ns stored on the support 2. Assuming the same values of the serial number Ns are read from the reader 5 and support 2, the smart card then generates the decryption key from the stored random number value R and diversifier Ns to decrypt the volume elements V so as to permit reading of the recording. As before, a new random number is then generated and a new encrypted value of the volume elements generated and written in the support 2.

If the smart card 2 does not read the same values of the serial number Ns from the support 2 and reader 5, this indicates a different reader is now being used to read the recording. Although this may be indicative of an unauthorised or fraudulent use of the recording, it may also simply indicate the user has replaced his reader or has a number of readers.

Thus, whilst the smart card may be simply programmed to reject the value Ns read from the reader and to refuse to decrypt the volume elements, an alternative embodiment is preferred in which a limited number of different readers may access the data. In one such embodiment the card is programmed such that, in the event of a mismatch between the values of the serial number Ns, the serial number read from the support is used to diversify the random key so as to correctly decrypt the volume elements.

Thereafter, the new serial number Ns read from the reader is used to re-encrypt the elements and this new serial number stored together with the re-encrypted volume elements on the support. The card may be programmed by means of a flag or the like to allow only a limited number of exchanges (for example 1 or 2) of the serial number on the support. After this number has been passed the card will refuse all subsequent exchanges, having judged that fraudulent use of the recording is taking place.

In an alternative embodiment, the card may be programmed to store the serial numbers of any new readers in a list in the support. At each reading, the card checks to see if the serial number of the reader corresponds to that of the reader last used. If not, the serial number used to encrypt the volume elements at the last reading may be read from the card to correctly decrypt the volume elements. The card also checks to see if the serial number of the reader corresponds to a number already stored in the support. If not, a new « authorised » serial number is added to the list and the decrypted volume elements passed to the reader. The new serial number may then be used to diversify the random number used to re-encrypt the volume elements for the next reading.

Once the list reaches a certain threshold, for example 2 or 3 authorised readers, the card may then refuse

to add any further serial numbers to the list and, at the same time, refuse to pass the decrypted volume elements to the decoder. This comparison may even take place before the decryption step, such that the card will refuse to decrypt the volume elements in the event that the reader number is not found on the completed list of authorised readers.

In comparison with the embodiment in which the serial numbers are sequentially written over each other, this embodiment possesses the advantage that a user may pass between any of the readers in the list an unlimited number of times, as may be reasonably demanded by a user without any fraudulent intentions.

Variations on the above described realisations will be apparent to one skilled in the art. For example, while the invention has been described in particular relation to a pre-recorded disk or device, it will be clear that the same principles may be applied to blank digital disks or cassettes, since such devices are nevertheless be provided with a volume descripter which may be encrypted in association with a smart card or the like as described above.

At the first insertion of the medium in the disk, the presence of the associated IC will be necessary in order to decrypt the volume elements before the reader is allowed to record or write any data onto the blank medium. The presence of the IC will also be obligatory at all future readings of the medium so as to prevent the unlimited copying of any information eventually recorded on the medium.

Claims

1. A method of protecting recorded digital data on a digital support medium characterised in encrypting one or more elements of the volume descripter of the support medium by means of a first key, storing an equivalent of the first key necessary to decrypt the volume descripter on an integrated circuit associated with the support medium and, when accessing the support medium, using the key stored in the integrated circuit to decrypt the encrypted elements of the volume descripter and to supply the reader with these elements so as to permit the reading and/or writing of recorded data on the support medium.

2. A method of protecting recorded digital data as claimed in claim 1 in which the integrated circuit is embedded in a smart card associated with the support medium, the smart card acting to decrypt the encrypted volume elements and to pass these to the reader so as to permit reading and/or writing of the recorded data.

3. A method of protecting recorded digital data as claimed in claim 1 in which the key is stored in an integrated circuit embedded in the housing of the digital support medium.

4. A method of protecting recorded digital data as claimed in any of claims 1 to 3 in which the first key comprises a key diversified by a manufacturing constant representing a value associated with the identity of the recording medium.

5. A method of protecting recorded digital data as claimed in any of claims 1 to 4 in which the first key is derived from a symmetric encryption algorithm.

6. A method of protecting recorded digital data as claimed in any of claims 1 to 5 in which the volume elements are re-encrypted by the integrated circuit according to a new key generated and stored in the integrated circuit, the re-encrypted volume elements being thereafter recorded on the medium by the reader, replacing the previously encrypted values.

7. A method of protecting recorded digital data as claimed claim 5 in which the new key is generated by a random or pseudo-random number generator in the integrated circuit.

8. A method of protecting recorded digital data as claimed in claim 5 or 6 in which the new key generated by the integrated circuit is diversified by a value associated with the identity of the reader read by the integrated circuit from the reader.

9. A method of protecting recorded digital data as claimed in claim 8 in which the integrated circuit compares the identity value read from the support medium with that taken from the reader and, in the event of a difference between the two, acts to decrypt the volume elements using the previous reader identity value from the recording medium and thereafter re-encrypts the volume elements using the new reader identity value taken from the reader.

10. A method of protecting recorded digital data as claimed in claim 9 in which the new reader identity value replaces the previous reader identity value stored on the support medium, only a pre-determined number of replacements of the identity value being permitted.

11. A method of protecting recorded digital data as claimed in claim 9 in which the new reader identity value is stored in a list of authorised readers on the support medium, only a pre-determined number of readers being allowed in the list.

12. A method of protecting recorded digital data as claimed in any preceding claim in which the support medium is pre-recorded with digital data.

13. A method of protecting recorded digital data as claimed in any of claims 1 to 12 in which the support medium is blank prior to its first insertion in the reader, the presence of the associated integrated circuit being necessary in order to decrypt the volume elements before the reader is allowed to write any data onto the blank medium.

14. A method of manufacturing a digital support medium and integrated circuit for use in the method of any of claims 1 to 13 comprising encrypting one or more elements of the volume descripter associated with the support medium by means of a first key and storing an equivalent of the first key necessary to decrypt the volume descripter on an integrated circuit associated with the support medium.

15. A method of manufacturing a digital support medium and integrated circuit as claimed in claim 14 in which the integrated circuit is embedded in a smart card associated with the digital support medium.

16. A method of manufacturing a digital support medium and integrated circuit as claimed in claim 14 in which the integrated circuit is embedded in the housing of the digital support medium.

Fig.1.

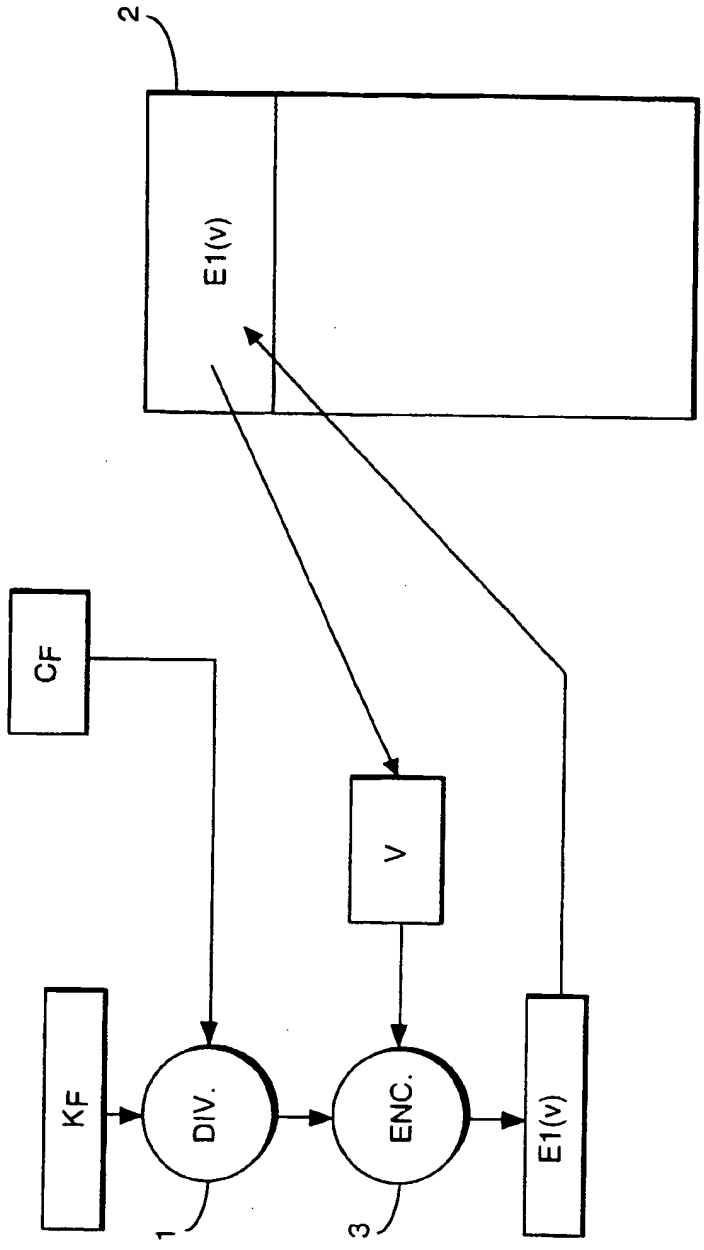
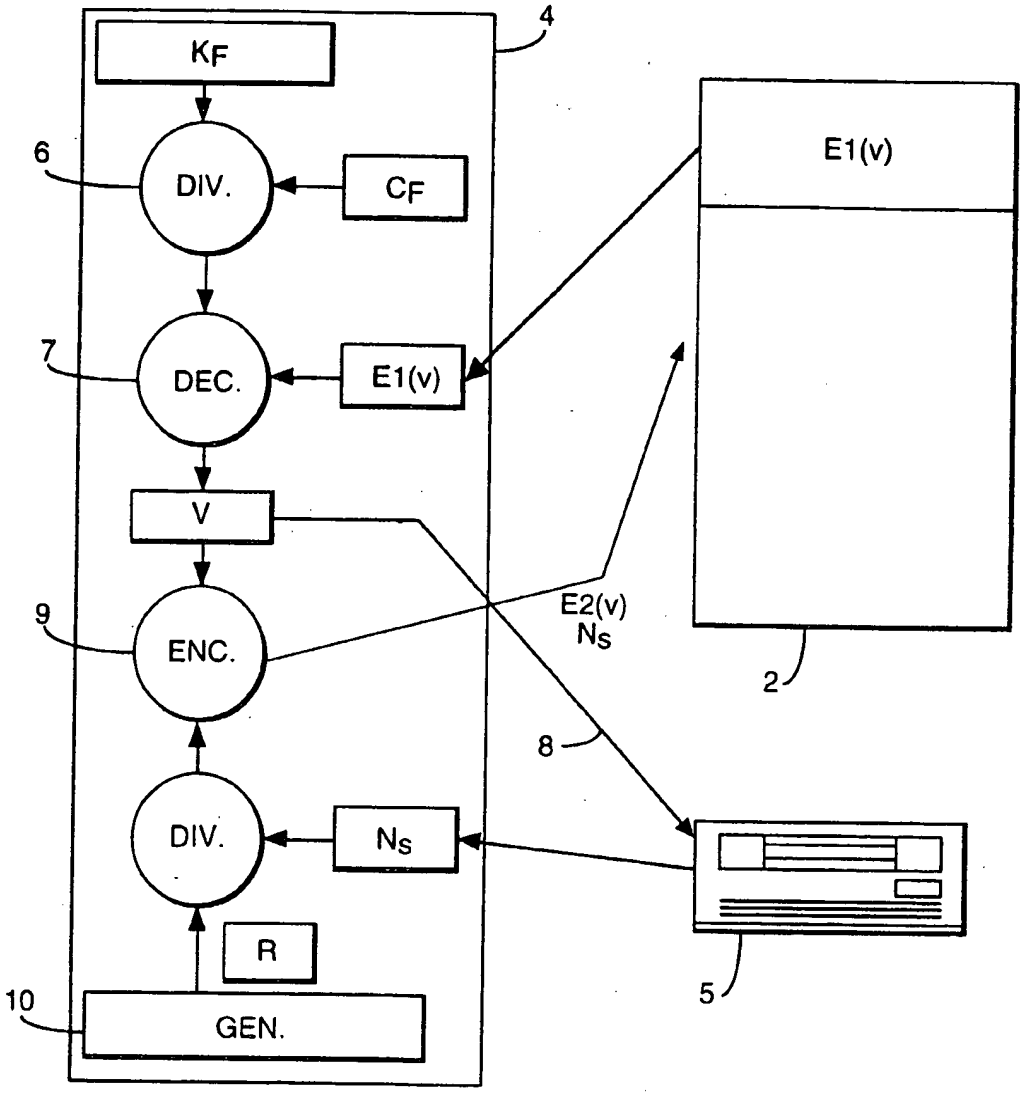


Fig.2.



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EUROPEAN SEARCH REPORT

Application Number
EP 97 40 2237

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Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.6)
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The present search report has been drawn up for all claims

Place of search	Date of completion of the search	Examiner
THE HAGUE	18 June 1998	Powell, D

CATEGORY OF CITED DOCUMENTS

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